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Original Article

The perception of facial esthetics with regard to different buccal corridors and facial proportions

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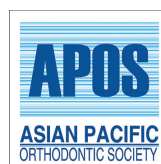
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ABSTRACT

Objectives: The aim of the study was to examine the effects of buccal corridor width on the perception of facial esthetics and to determine the ideal buccal corridor size. It also aimed to determine the influence of facial shape or gender on the ideal size of buccal corridors and whether there are differences in perception between laypeople and dental professionals (LPs and DP).

Material and Methods: One female and one male study model were selected and photographed in portrait format. Using Photoshop, a total of 30 digitally manipulated images were generated from the original photographs, representing five different buccal corridor sizes (2%, 10%, 15%, 22%, and 28%) and three different facial shapes (dolichol-, normo-, and brachyfacial). Two groups of LP and DP evaluated the images. Each group consisted of 20 male and 20 female participants with a mean age of 30.33 ± 5.19 (LP) and 32.03 ± 4.43 (DP). Descriptive statistics, Wilcoxon sign-rank test, Mann-Whitney U-test, and Bonferroni correction were then used to assess the significance of the data, which identify differences between genders and the impact of facial form.

Results: The buccal corridor width was found to influence the facial and smile esthetics. The 2% buccal corridor proved to be the best-rated size and stood out from the other sizes in most face shapes with a significance of $P < 0.004$. A difference in the evaluation between the DP and LP could not be found.

Conclusion: Large buccal corridors seem to affect facial esthetics negatively. The results of the present study suggest an ideal value of the buccal corridor at 2%.

Keywords: Buccal corridor, Facial esthetics, Smile esthetics, Facial proportions, Perception

INTRODUCTION

The esthetic appearance of a person plays an increasingly important role in our society today.^[1-3] The importance of facial attractiveness is ranked higher than the rest of the body, and smiles are particularly important in this respect.^[4-6] During interpersonal communication, the focus is mainly on the eyes and mouth of the other person.^[7] After the eyes, the mouth is one of the most crucial parts of the face in social interactions between people and one of the most relevant ways to convey emotions.^[7-9] Thus, the importance of an esthetically beautiful smile in social life is evident.^[10] Numerous studies have already dealt with aspects that have a significant influence on the esthetics of the oral region. For example, tooth position deficits and midline shifts have already found their way onto the list of problems of dental and orthodontic treatment.^[5,11-16] The role of the buccal

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corridors is increasingly becoming the focus of discussion. Frush and Fisher defined the buccal corridor as early as 1958 as the space between the posterior teeth and the cheek.^[17] The influence of the buccal corridor on facial esthetics has not yet been conclusively clarified as the current data situation still provides partly divergent results.^[1] Hulsey concluded that the buccal corridor does not influence smile esthetics.^[18] However, Moore *et al.* showed that the buccal corridor has an influence on the esthetics of the smile and that laypersons are able to perceive it.^[19,20] Aside from controversial studies, evidence on the effects of facial shape and gender on the perception of buccal corridors is also lacking. It is also important to note that the different esthetic perceptions of dental professionals (DPs) compared to laypeople professionals (LPs) result in their different perceptions of treatment needs and can be a source of patient-practitioner conflict.^[21] Therefore, recognizing and applying these distinctions is crucial for the clinician. The use of proper strategies is essential to meet patient expectations and outcomes.^[22] Moreover, both LP as well as DPs' perceptions should be evaluated. Thus, the present study aimed to examine the effects of buccal corridor width on the LP and DP's perception of facial esthetics in different facial shapes. The null hypothesis stated that the increase in buccal corridor width does not affect the LP and DP's perception of facial esthetics in different facial shapes.

MATERIAL AND METHODS

Ethical approval for this study was obtained from the Ethics Committee at the Johann-Wolfgang Goethe University (approval number: 20-601).

One male and one female young Caucasian model were photographed in portrait format and written consents were obtained from both models. The aim was to ensure that the models had almost symmetrical facial features and aligned teeth. The program Adobe Photoshop 2020 Version 21.1.2 (Adobe Inc., Del-aware, USA) was used for the digital manipulation of the original images. The digital manipulation described by Moore *et al.* was used in the present study.^[20]

Since a small discrepancy in the distance between the study model and the camera could not be excluded in principle for the two models, the original buccal corridor could not simply be determined by a linear measurement. For this reason, the calculation was made on a percentage basis.^[20]

Since the cheek itself has a certain thickness, two commissures were defined for the calculation of the buccal corridor: the outer commissure (C) and the inner commissure (B). The visible maxillary dentition (A) was used for the calculation of the buccal corridors, since it can reach at most as far as the inner commissure (B) [Figures 1 and 2].

Thus, according to Moore *et al.*, the following formula was used for the calculation of the buccal corridor:^[20]

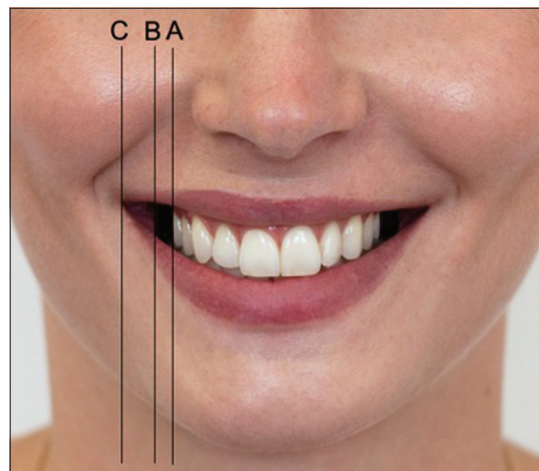


Figure 1: The space between lines A and B forms the buccal corridor, while the space between lines B and C represents the cheek thickness and is not considered part of the buccal corridor.

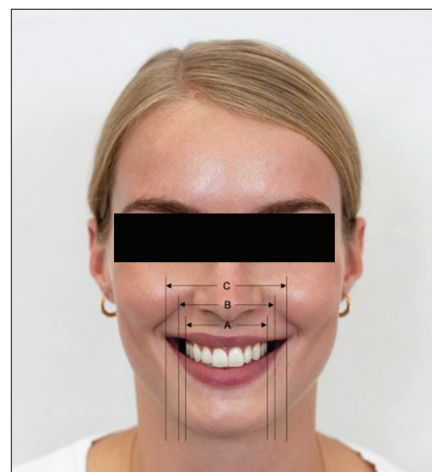


Figure 2: (A) Illustration of the terms for visible maxillary dentition, (B) inner commissure, and (C) outer commissure in the female model with an altered buccal corridor (15%) and an unchanged facial shape.

$$\text{Buccal corridor} = \frac{B - A}{B} \times 100$$

The initial images were then digitally edited to generate manipulated images with buccal corridors measuring 2%, 10%, 15%, 22%, and 28%.

By rearranging the formula, the corresponding maxillary dentition width was calculated for each buccal corridor percentage:

$$-A = \frac{\text{Buccal corridor}}{100} \times B - B$$

Using the ruler tool in Photoshop, the calculated maxillary dentition width was marked. Subsequently, the teeth were selected up to the necessary maxillary dentition width and color-matched to the buccal mucosa. This was done for the sake of keeping the natural facial appearance of the models as opposed to the compression of the entire visible maxillary dentition, which was used by Moore *et al.*^[20] The compression procedure also changes the inter canine distance and, thus, leads to an unnatural-looking result. Since both study models were classified as normo-facial, the images had to be changed to dolichofacial and brachyfacial, respectively. For this purpose, the images were compressed in Photoshop by 4–96% for the brachyfacial type and stretched by 4–104% for the dolichofacial type. Thus, for each sex and each of the five buccal corridors, three images differing in facial type were created [Figures 3-12].

For the PowerPoint presentation, a randomized order of the 30 images was created. Solid blue slides were inserted between the individual images to make immediate and direct comparisons impossible.

The study participants consisted of two groups of LPs and DPs. The number of cases was estimated by assuming a significance level of $P = 0.05$ and a test strength of 80%. Based on a study by Zange *et al.*, a dispersion of 23.09 units was assumed for the LP group, a dispersion of 21.74 for the DP group, and a mean difference between the groups of 15.2.^[23] This resulted in a Cohen's effect size of under $\delta = 0.67781$. To achieve a test strength of 80%, a sample size of at least 37 subjects per group was required. The case number was increased to a group size of 40 study participants per group to compensate for the drop-out rate of about 5%. This resulted in a total participant size of 80 (40 in each group).

The participants were informed that they would be shown images of a male and a female model in the format of a PowerPoint presentation, and they were required to rate the facial attractiveness of these images.

Each study participant had 10 s to evaluate the individual pictures. A return to the previously shown images was not allowed. Standardized questionnaires were used for both groups of participants to evaluate the series of images. The questionnaire was divided into two parts. In the first part, a survey of anonymized personal data specified to the participant groups took place. The second part was about the evaluation of the collected pictures. The participants were asked to rate the pictures on a Likert scale from 1 (unattractive) to 7 (attractive).

To investigate the influence of the buccal corridor on facial esthetics and identify the optimal size, we first analyzed the data using descriptive statistics. Then, we applied the Wilcoxon sign-rank test to assess the significance of our findings. In addition, we examined the differences in the



Figure 3: Digitally edited images of the female model with 2% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.

ideal buccal corridor between genders and the role of changes in facial shape on the assessments. To determine whether laypersons and experts evaluated the buccal corridor differently, we conducted the Mann-Whitney U-test. The Bonferroni correction method was used correctly for multiple tests to adjust the obtained significance values.

RESULTS

Eighty participants were recruited for the present study. Each group consisted of 20 male and 20 female participants with a mean age of 30.33 ± 5.19 (LP) and 32.03 ± 4.43 (DP) years old.



Figure 4: Digitally edited images of the female model with 10% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.

The results of the present study showed that the buccal corridor had a significant influence on observers' perception of facial esthetics [Table 1]. Descriptive data regarding the perceived facial attractiveness of the digitallly edited images are shown in [Figure 13].

No statistically significant differences were recorded between the assessment of the LP and DPs [Table 2].

The DP consisted of dentists with experience in conservative dentistry,^[11] orthodontics,^[9] oral and maxillofacial surgery^[8] and prosthodontics.^[12] Although no statistically significant

Table 1: Comparisons between the perceived facial attractiveness of digitallly edited images using the Wilcoxon signed-rank test and Bonferroni correction.

Image 1	Image 2	P-value	B
M2d	M10d	0.021	0.084
	M15d	<0.001	<0.004
	M22d	<0.001	<0.004
	M28d	<0.001	<0.004
M2m	M10M	<0.001	<0.004
	M15m	<0.001	<0.004
	M22m	<0.001	<0.004
	M28m	<0.001	<0.004
M2b	M10b	0.001	0.004
	M15b	<0.001	<0.004
	M22b	<0.001	<0.004
	M28b	<0.001	<0.004
f2d	f10d	0.232	0.928
	f15d	<0.001	<0.004
	f22d	<0.001	<0.004
	f28d	<0.001	<0.004
f2m	f10m	0.161	0.644
	f15m	0.011	0.044
	f22m	<0.001	<0.004
	f28m	<0.001	<0.004
f2b	f10b	0.004	0.016
	f15b	<0.001	<0.004
	f22b	<0.001	<0.004
	f28b	<0.001	<0.004

Significance was set at $P < 0.01$. M: Male; F: female, m: Mesofacial, d: Dolichofacial, b: Brachyfacial, 2: Buccal corridor of 2%, 10: Buccal corridor of 10%, 15: Buccal corridor of 15%, 22: Buccal corridor of 22%, 28: Buccal corridor of 28%, Image 1: Reference image used for the comparison, Image 2: Image compared to the reference image, P: P-value, B: Bonferroni value

differences were found among the different dental specialties, it is important to acknowledge that the sample size per group was insufficient to detect a statistical effect.

In the male study model, although the 2% buccal corridor in the dolichofacial face was rated the best in the descriptive statistics, there was no significant difference compared to the rating of the 10% buccal corridor. The 2% buccal corridor was rated better in the dolichofacial face compared to the other buccal corridor sizes (15%, 22%, and 28%) with a significance of $P < 0.004$. In the meso- and brachyfacial face, the 2% buccal corridor was evaluated better compared to all other buccal corridor sizes (10%, 15%, 22%, and 28%), with a significance of $P < 0.005$.

In the female study model, although the 2% buccal corridor was rated the best in the dolicho- and mesofacial face in

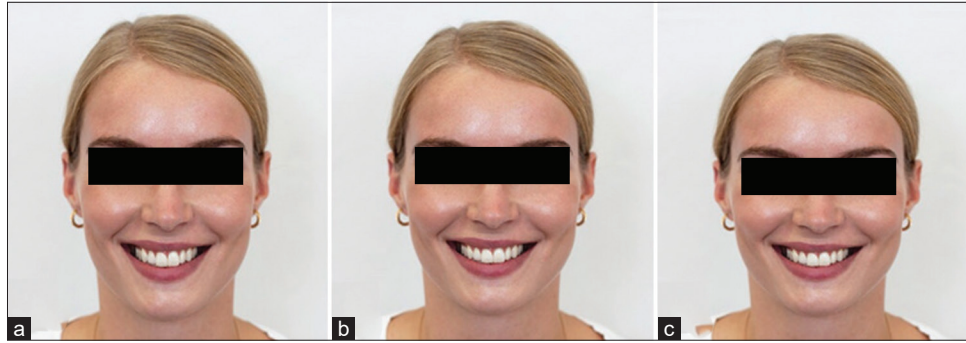


Figure 5: Digitally edited images of the female model with 15% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 6: Digitally edited images of the female model with 22% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 7: Digitally edited images of the female model with 28% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 8: Digitally edited images of the male model with 2% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 9: Digitally edited images of the male model with 10% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 10: Digitally edited images of the male model with 15% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.

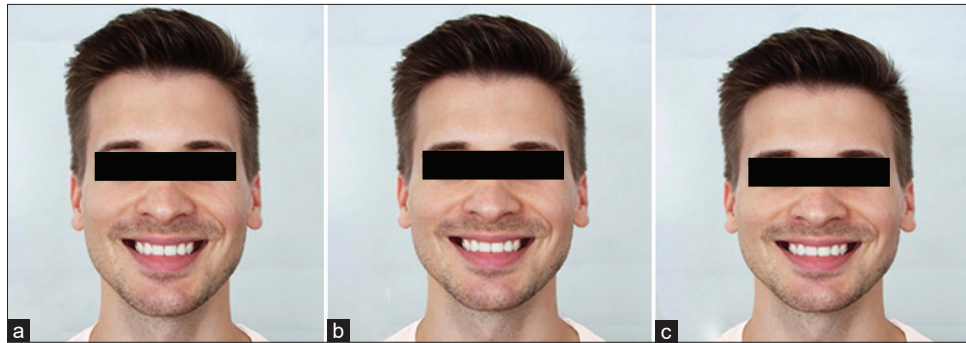


Figure 11: Digitally edited images of the male model with 22% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.



Figure 12: Digitally edited images of the male model with 28% buccal corridors. From left to right: (a) dolichofacial - (b) mesofacial - (c) brachyfacial.

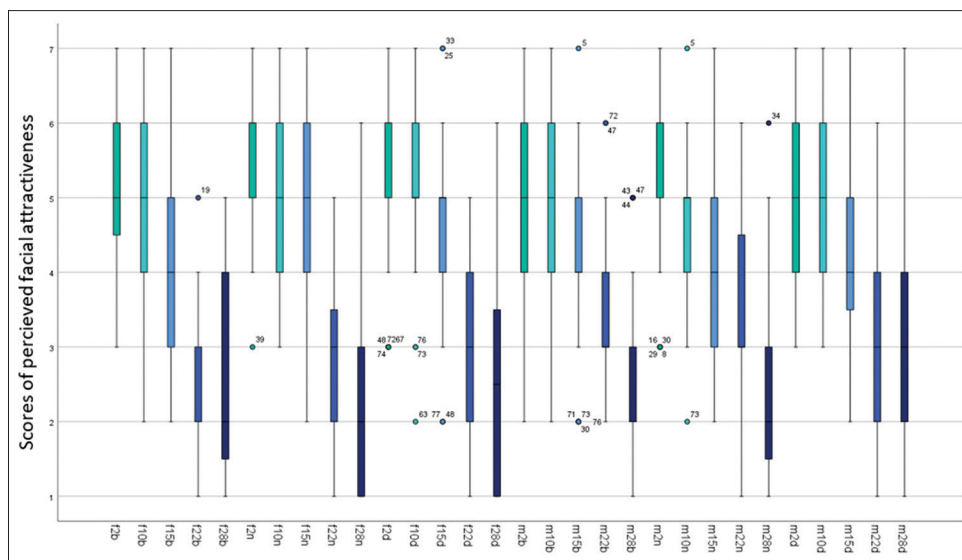


Figure 13: Descriptive data of perceived facial attractiveness of each digitally edited image. m: Male, f: Female, n: Mormofacial, d: Dolichofacial, b: Brachyfacial, 2: Buccal corridor of 2%, 10: Buccal corridor of 10%, 15: Buccal corridor of 15%, 22: Buccal corridor of 22%, 28: Buccal corridor of 28%.

the descriptive statistics, there was no significant difference compared to the rating of the 10% buccal corridor. The 2% buccal corridor was rated better in the dolicho- and mesofacial face compared to the other buccal corridor sizes (15%, 22%, and 28%) with a significance of $P < 0.05$. In the brachyfacial face, the 2% buccal corridor was evaluated better compared to all other buccal corridor sizes (10%, 15%, 22%, and 28%), with a significance of $P < 0.02$. The 2% buccal corridor of the female study model in the mesofacial face was rated best with a median of 6. However, as already mentioned above, no significant difference ($P = 0.644$) could be seen in the comparison between the evaluation of the 2% and 10% buccal corridors.

In the comparison between the 2% and the other buccal corridors of 15%, 22%, and 28%, a significant difference became visible ($P < 0.05$).

This shows that neither the shape of the face nor the gender has a significant influence on the ideal buccal corridor size.

DISCUSSION

Our results suggest that a buccal corridor of 2% has the highest attractiveness rate among DPs and LPs, regardless of the models' facial type and gender.

We incorporated the digitally edited images of one male and one female model for our comparisons. Instead of using different models with different facial types, as described by Zange *et al.*,^[23] we decided to generate the different facial types through digital editing of the sample images.

Using different models has the potential evaluation bias, as it may not have been the facial type, but the subjective

perception of the attractiveness of the study model which leads to differences in observers' perception of facial attractiveness (study model A more attractive than study model B \neq dolichofacial face shape more attractive than brachyfacial face shape). Both of our models were selected according to the esthetic criteria discussed by previous studies, including a good tooth position with complete dentition and orthoaxially positioned anterior teeth.^[20,23-27]

The digitally created buccal corridor sizes of 2%, 10%, 15%, 22%, and 28% in our study correspond with the values reported in similar studies.^[20,23,25,28-33] We did not include the 0% buccal corridor since Frush and Fisher have already concluded that the absence of a buccal corridor is perceived as unnatural and artificial.^[17] It can be assumed that many of the current studies have adopted this view and have, thus, omitted the investigation of the 0% buccal corridor.^[20,23,25,34] Apart from the form in which the images were presented to the study participants, the randomization of the sequence and the time limit in the evaluation was an important aspect that was also taken into account in the present study.^[23,25,26] By inserting a neutral slide between the images to be evaluated and prohibiting a return to the previously shown images, a direct comparison between them should be prevented.^[23,25] This approach can be particularly interesting when testing as to whether the buccal corridor, in the eyes of the study participants, has any influence at all on the esthetics of the face and smile and, if so, at what point this can be determined. Should this turn out to be one of the essential aspects in terms of attractiveness, it could be discussed whether a subsequent, direct comparison of the images would be useful to find out, ultimately, the ideal buccal corridor. Moore *et al.* chose the

Table 2: Comparisons between DP and LP groups regarding their perception of facial attractiveness of digitally edited images using the Mann-Whitney U-test and Bonferroni correction.

Image	P-value	B
f2b	0.909	1
f2m	0.381	1
f2d	0.359	1
M2b	0.253	1
M2m	0.409	1
M2d	0.243	1
f10b	0.603	1
f10m	0.019	0.57
f10d	0.109	1
M10b	0.192	1
M10m	0.555	1
M10d	0.431	1
f15b	0.273	1
f15m	0.236	1
f15d	0.017	0.51
M15b	0.165	1
M15m	0.086	1
M15d	0.282	1
f22b	0.920	1
f22m	0.984	1
f22d	0.612	1
M22b	0.099	1
M22m	0.257	1
M22d	0.224	1
f28b	0.106	1
f28m	0.292	1
f28d	0.318	1
M28b	0.821	1
M28m	0.466	1
M28d	0.078	1

Significance was set at $P < 0.01$. M: Male; F: Female, m: Mesofacial, d: Doliofacial, b: Brachyfacial, 2: Buccal corridor of 2%, 10: Buccal corridor of 10%, 15: Buccal corridor of 15%, 22: Buccal corridor of 22%, 28: Buccal corridor of 28%, P: P value, B: Bonferroni value. LP: Laypeople professional, DP: Dental professional

direct comparison throughout and always presented the study participants with a pair of images.^[20] In this context, it should also be discussed whether the study participant should not be given the opportunity in advance to get an overview of the images to be evaluated. This is because when assessing the first picture, the study participant sets a level for the assessment, which could subsequently prove to be impractical; all pictures shown afterward that are considered more attractive would have to be rated better accordingly and

vice versa. When viewing the first image, however, the study participant does not yet know the subsequent images. If a high score is already given here, although this picture is not perceived as more attractive in comparison to the following ones, only a small scale remains for future evaluations. These distortions could, presumably, be avoided if, as in Moore *et al.*, a warm-up had taken place beforehand or, as in Sadrhaghighi *et al.*, the visual material had been presented once before the evaluation.^[20,35] When investigating whether the change in the buccal corridor is perceived by the study participants at all, the question should be asked with regard to the objective, to what extent they should be informed about the background. If the topic is explained in detail, the study participant's gaze could be too strongly focused on the oral region with regard to the question and, thus, this could possibly lead to a distortion of the desired result. Therefore, we asked the participants to evaluate the facial esthetics as opposed to the smile esthetics to avoid attracting their attention toward the mouth region of the models.

Aside from the influence of buccal corridor size, we also compared the role of the profession on the individual's perception of facial esthetic (DP vs. LP group). Possible differences between the perception of dentists and patients could lead to increased treatment load and patient dissatisfaction. Since all specialties in dentistry are involved in decision-making regarding the patients' facial esthetics, it was of great importance for the present study to select dentists from different specialties. However, we acknowledge that the combination of all specialties in one group reduced the precision of our assessments, and therefore, further studies are required to demonstrate the perception of each specialty.

Our results showed no statistically significant differences between the perception of LPs and DPs. Our results are in agreement with those of similar studies.^[19,30,36-42] A possible explanation for the lack of difference between LPs and DPs would be that the buccal corridor is such a striking aspect of facial esthetics and is immediately registered consciously or unconsciously. Here, a trained eye might not be necessary for the ability to differentiate. Moore *et al.* used only laypersons for the assessment but confirmed the assumption that laypersons are quite capable of recognizing and assessing differences between the various buccal corridor sizes.^[20] Like this study, the frequently cited studies by Moore *et al.* and Zange *et al.*, in particular, but also Shalini *et al.*, concluded that a 2% buccal corridor is the best-evaluated size.^[20,23,41,43,44] The fact that the 2% buccal corridor is not significantly different from the 10% buccal corridor in some face shapes was also confirmed in this form by Zange *et al.* It can be concluded from this that the transitions in esthetic perception can be fluid.^[23] For the treating dentist, it can be deduced from this that in borderline situations, a 10% buccal corridor can also lie within the

framework of esthetic sensation. Nevertheless, the goal should be the 2% buccal corridor. Therefore, practitioners are advised to consider the buccal corridor width of patients, especially when deciding between extraction and non-extraction treatments or planning therapies involving expansion.

Contrary to our results, Nimbalkar *et al.* and Nascimento *et al.* determined an ideal buccal corridor size of 15%.^[25,45] These differences may also be due to ethnic and cultural factors that result in different esthetic perceptions.^[25] Nevertheless, it can be said that a small buccal corridor is considered significantly better than a large one.^[20,23,24,26,30,40,41,43,46] The difference in facial shapes in relation to the buccal corridor has been relatively rarely studied. All the studies reviewed that also dealt with this topic concluded – just like the present one – that the different facial shapes do not influence the ideal buccal corridor size.^[23-25,29,46]

Limitations

The selection of sample models and evaluators exclusively from the Caucasian ethnicity significantly reduces the generalizability of our results. This should be considered the primary limitation of the present research.

CONCLUSION

Our results suggest that the 2% buccal corridor could be aimed for as an ideal value in treatment planning for both genders regardless of their facial type. From the point of view of the present study, a 10% buccal corridor represents an alternative treatment goal in borderline situations where the 2% cannot be achieved due to other reasons.

Even though no differences were seen between the perception of DPs and LPs, the patients and their individual needs should stay the focus of treatment. Thus, different esthetic ideals must be respected and included in the treatment planning.

Ethical approval: The research/study was approved by the Institutional Review Board at Johann-Wolfgang Goethe University, number 20-601, dated 17th December 2018.

Declaration of patient consent: The authors certify that they have obtained all appropriate patient consent.

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